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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/785,095

02/25/2004

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25944 7590 04/22/2010
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EXAMINER

DICKER, DENNIS T

ART UNIT

PAPER NUMBER

2625

NOTIFICATION DATE

DELIVERY MODE

04/22/2010

ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

OfficeAction25944@oliff.com
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Office Action Summary	Application No. 10/785,095	Applicant(s) IIDA ET AL.	
	Examiner DENNIS DICKER	Art Unit 2625	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 3/19/2010.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-7 and 16-28 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-7 and 16-28 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 25 February 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Response to Arguments

1. Applicant's arguments filed 3/19/2010 with respect to Claims 1-7 and 16-19 have been fully considered but they are not persuasive. In regards to Claim 1, Hertling teaches comparing job attributes to a database for a plurality of processing methods and destinations in a system (i.e., Fig. 2) Hertling further teaches dynamically creating a workflow based on these job requirement and rules.
2. Applicant's arguments see Remarks, filed 3/19/2010, with respect to Claims 23-28 have been fully considered and are persuasive. The Rejections of Claims 23-28 have been withdrawn.
3. In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., creating rules based upon errors that occur in the workflow) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to

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be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 1-7 and 16-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kim (hereinafter "Kim '243" US 6,101,243) in view of Nakatani et al. (hereinafter "Nakatani '079" US 5,253,079) and further in view of Hertling et al (US 7,299,244).

With respect to **Claim 1**, Kim '243 teaches a service processing system providing a service of performing a predetermined series of processes on document data through cooperation among the processes over a network (**i.e., Col. 1 lines 19-22, facsimile system**) comprising: a control device (**i.e., Fig. 1**); a storage device (**i.e., 20 of Fig. 1, Memory**), provided in a control device, that stores a work flow of the predetermined series of processes (**i.e., Col. 3 lines 47-49, memory stores program data of system [workflow Table 1]**); an acquisition device (**i.e., 50 of Fig. 1, Modem**) that acquires document data on a page basis (**i.e., Col. 3 lines 56-60**); and a controller (**i.e., 10 of Fig. 1, CPU**) provided in the control device that determines (**i.e., Col. 3 lines 44-47, CPU determines which phase the error occurs**) whether an acquisition error indicating failure in acquiring the document data occurs (**i.e., Col. 5 lines 35-40**) and whether a decode error indicating failure in decoding the acquired document data occurs (**i.e., Col. 5 lines 40-46**), and executes a recovery process (**i.e., Fig. 2, recovery process**) to eliminate the acquisition error when the acquisition error occurs (**i.e., Col. 4 lines 39-61, method of eliminating acquisition error**) and the decode error when the decode error occurs wherein, in the recovery process

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(i.e., Col. 4 lines 62-Col. 5 line 5, recovery process for error after transmission), the controller requests to resend a page of the document data in which the acquisition error occurs **(i.e., Fig. 2)** .

Kim '243 does not explicitly teach a decoding device that decodes the acquired document data on a page basis and controls re-execution of a decoding process of a page of the document data in which the decode error occurs, a rule management unit that creates and manages rules relating to processing tasks for the predetermined series of processes on document data, the rules being dynamically created based upon capturing destinations, processing methods, and distribution destinations for the service processing system: and a recovery unit that performs recovery processing on the document data based upon the particular rule managed by the rule management unit

However, the mentioned claimed limitations are well known in the art as evidenced by Nakatani '079, In particular, Nakatani '079 teaches the use of a decoding device **(i.e., 36 of Fig. 1, Expansion device)** that decodes the acquired document data on a page basis **(i.e., Col. 10 lines 16-18 and Col. 7 lines 42-45)** and controls re-execution of a decoding process **(i.e., Col. 10 lines 25-28, re-decoding process when an error occurs[Col. 10 lines 19-21])** of a page of the document data in which the decode error occurs **(i.e., Col. 4 lines 31-43)** and a recovery unit that performs recovery processing on the document data based upon the particular rule managed by the rule management **unit (i.e., Col. 3 line 41-48, Col. 4 lines 27-43 and Col. 10 lines 19-28, If a facsimile processing rule is created the fax processing may include a line error**

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counter [32] which performs recovery processing) and Hertling teaches a rule management unit that creates and manages rules relating to processing tasks for the predetermined series of processes on document data, the rules being dynamically created based upon capturing destinations, processing methods, and distribution destinations for the service processing system (**i.e., Fig. 10 and 12 and Col. 1 line 14- Col. 2 line 39, rules stored in a database are managed and used to dynamically create a workflow using a plurality of process and devices)**

In view of this, it would have been obvious to one having ordinary skill in the art at the time of invention was made to modify the service processing system of Kim '243 as taught by Nakatani '079 and Hertling since Nakatani '079 suggested in Col. 1 lines 1-20-68 that such a modification would provide improved reliability in a facsimile system when receiving a fax wherein the facsimile device of Kim'243 acquires a page and processes a page while determining an error and using a recovery process to clear the error and Nakatani '079 shows an improvement to the recovery process by re-decoding a page when an error is found rather than re-dialing a number which will save time and money and Hertling explains that such a modification would increase flexibility and efficiency when combining and controlling functions of different devices.

With respect to **Claim 2**, Kim '243 teaches a service processing system wherein the controller temporarily halts the predetermined processes when the acquisition error or the decode error occurs (**i.e., Col.4 lines 3-8, if there is an**

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error the controller automatically halts the process), requests executes the recovery process, and clears the halt after the execution of the recovery process **(Col.4 lines 3-8 and Fig. 2, request to redial the number to clear the halt).**

With respect to **Claim 3**, Kim '243 teaches a service processing system wherein the controller continues execution of the performing of the predetermined processes on document data except for the process of the page of the document data in which the acquisition error or the decode error occurs **(i.e., ,113 of fig. 2 and Col. 4 lines 62-Col. 5 line 20, ability to continue execution of a predetermined process except for error page)**, and performs the execution of the recovery process separately from the continued processes of the predetermined processes **(i.e.,113 of Fig. 2 , recovery process separately from error page).**

With regards to the image processing device of **Claim 4**, the limitations of the claim 4 are corrected by limitations of claim 1 above. The steps of claim 4 read into the function step of claim 1.

With regards to the image processing device of **Claim 5**, the limitations of the claim5 are corrected by limitations of claim 2 above. The steps of claim 5 read into the function step of claim 2.

With regards to the image processing device of **Claim 6**, the limitations of the claim 6 are corrected by limitations of claim 3 above. The steps of claim 6 read into the function step of claim 3.

With regards to the image processing device of **Claim 7**, the limitations of the claim 7 are corrected by limitations of claim 1 above. The steps of claim 7 read into the function step of claim 1.

With regards to the image processing method of **Claim 16**, the limitations of the claim 16 are corrected by limitations of claim 1 above. The steps of claim 16 read into the function step of claim 1.

With regards to the image processing method of **Claim 17**, the limitations of the claim 17 are corrected by limitations of claim 2 above. The steps of claim 17 read into the function step of claim 2.

With regards to the image processing method of **Claim 18**, the limitations of the claim 18 are corrected by limitations of claim 3 above. The steps of claim 18 read into the function step of claim 3.

With regards to the image processing method of **Claim 19**, the limitations of the claim 19 are corrected by limitations of claim 1 above. The steps of claim 19 read into the function step of claim 1.

6. Claims 20-22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kim (hereinafter "Kim '243" US 6,101,243) in view of Nakatani et al. (hereinafter "Nakatani '079" US 5,253,079) and further in view of Hertling et al (US 7,299,244) and further in view of Shizmu et al (US 6,609,162).

With respect to Claims 20-22, Kim '243 does not explicitly teach a service processing device wherein the predetermined series of processes originate from a plurality of multi-function devices and include at least a document capturing process, a document processing process, and a document distribution process

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wherein each of the multi-function devices provide at least one of an input plug-in function, a processing plug-in function and an output plug-in function, and wherein the control device automates and routinizes the predetermined series of processes.

However, the mentioned claimed limitations are well known in the art as evidenced by Shizmu, In particular, Shizmu teaches wherein the predetermined series of processes originate from a plurality of multi-function devices (**i.e., Col. 1 lines 66-Col.2 lines 32**) and include at least a document capturing process , a document processing process, and a document distribution process (**i.e., Col. 1 lines 15-22 and Col. 14 lines 19-22[Fax mode]**) wherein each of the multi-function devices provide at least one of an input plug-in function (**i.e., Col. 2 lines 16-32**), a processing plug-in function and an output plug-in function, and wherein the control device automates and routinizes the predetermined series of processes (**i.e., Col. 4 lines 36-49, control server automates predetermined series of processes**).

In view of this, it would have been obvious to one having ordinary skill in the art at the time of invention was made to modify the service processing system of Kim '243 as taught by Nakatani '079, Hertling and Shizmu since Nakatani '079 suggested in Col. 1 lines 1-20-68 that such a modification would provide improved reliability in a facsimile system when receiving a fax wherein the facsimile device in Kim'243 acquires a page and processes a page while determining an error and using a recovery process to clear the error and Nakatani '079 shows an improvement to the recovery process by re-decoding a

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page when an error is found rather than re-dialing a number which will save time and money and Shizmu and Hertling explains that such a modification would increase flexibility and efficiency when combining and controlling functions of different devices.

7. Claims 23-28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Tanimoto (US 6,885,469) in view of Hashimoto et al (US 6,975,421).

8. With respect to **Claims 23, 25 and 27**, Tanimoto teaches a service processing system which processes on a network in association with a service **(i.e., Fig. 1, fax service)**, the service performing a series of a plurality of processes, in a predefined order, with respect to document data **(i.e., Col. 1 lines 6-12)**, the system comprising: a control means which performs control such that when there is an error with respect to processing of the document data during execution of the series of the plurality of processes **(i.e., Col. 1 lines 7-12 and 21-25)**, the processes following the process in which there was an error among the series of the plurality of processes are performed with respect to portions of the document data other than a location in which there was the error, and by a process different from the processes after the process in which there was the error, the processing of the portion of the document data at the location, in which there was an error **(i.e., Col. 1 lines 36-41, a process can be selectively disabled)**.

Tanimoto does not explicitly teach a service processing system wherein a portion of the document data is re-executed in the location.

However, the mentioned claimed limitations are well known in the art as evidenced by Hashimoto, In particular, Hashimoto teaches the use of a service processing system wherein a portion of the document data is re-executed in the location (**i.e., Col. 1 lines 65-Col. 2 lines 56, portions of job selected by the user are able to be re-executed**).

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to include the re-execution technique as taught by Takeda in the system taught by Hashimoto as suggested in Col. 1 lines 44-61 since such a modification would provide a recovery process that will allow a system to re-execute a particular portion of a document without re-executing the whole document.

With respect to **Claims 24, 26 and 28**, Tanimoto teaches a service processing system wherein when the control means performs the control so as to cause the re-execution, the control means performs control so as to execute the processes following the process in which there was the error among the series of the plurality of processes, with respect to the portion of the location in which there was the error, by using different processes (**i.e., Fig. 2, an alternative process is designated when an error occurs**)

Conclusion

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to DENNIS DICKER whose telephone number is

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(571)270-3140. The examiner can normally be reached on Monday -Thursday
7:30 A.M. to 5:00 P.M..

If attempts to reach the examiner by telephone are unsuccessful, the
examiner's supervisor, Twyler Haskins can be reached on (571) 272-7406. The
fax phone number for the organization where this application or proceeding is
assigned is 571-273-8300.

Information regarding the status of an application may be obtained from
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9199 (IN USA OR CANADA) or 571-272-1000.

/D. D./
Examiner, Art Unit 2625
4/12/2010

/Twyler L. Haskins/
Supervisory Patent Examiner, Art Unit 2625